

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Yuji FURUTA

**Examiner:** 

Unassigned

Serial No.:

09/735,039

Group Art Unit: 2852

Filed:

**December 12, 2000** 

Docket:

14139

For:

APPARATUS AND METHOD FOR **CONTROLLING IMAGE QUALITY** 

REDUCTION OF IMAGE INFORMATION

Dated:

December 4, 2002

**Assistant Commissioner for Patents** Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

RECEIVED

DEC 1 3 2002

Sir:

Technology Genter 2600

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. Japanese Laid-Open Patent Publication No. 6-311043, dated November 4, 1994; and
- 2. Japanese Laid-Open Publication No. 7-30855, dated January 31, 1995.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 4, 2002.

Dated: December 4, 2002

∕lichelle Mustafa

The references were cited in an Official Action dated October 1, 2002 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:ahs

				Docket Number (Optional) Application Number 09/735,039						
OF INFORMATION DISCLOSURE CITATION  Use several sheets if necessary)				Applicant(s) Yuji FURUTA						
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	REF	DOCUMENT NUMBER		DATE		COUNTRY	CLASS	SUBCLASS	Trans YES	lation NO
		6-311043		11/4/94	Japan					
		7-30855		1/31/95	Japan					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)										
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EXAMINER				DATE CONSIDERED						
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
Topy or and form with next communication to applicant.										

Form PTO-A820 (also form PTO-1449)

TRA	NSMITTAL OF	Docket No. 14139						
In Re Application Of: Yuji FURUTA  DEC 0 9 2002								
	Serial No. 09/735,039	Filing Date December 12, 2000	Examiner	Group Art Unit 2852				
Title:	Title: APPARATUS AND METHOD FOR CONTROLLING QUALITY REDUCTION							
OF IMAGE INFORMATION  RECEIVED  DEC 1 3 2002								
Address to:  Assistant Commissioner for Patents Washington, D.C. 20231  Address to:  Technology Center 2600								
		37 CF	FR 1.97(b)					
1. 🛚	The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.							
			FR 1.97(c)					
2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:								
	1. a	a Final Action under 37 CFR 1.11	13, or	TECHNO				
	2. a	a Notice of Allowance under 37 C	CFR 1.311,	ROLL RE				
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Also submitted herewith is:				IVED 2002 ENTER				
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	the fee se under 37 C	et forth in 37 CFR 1.17(p) for s CFR 1.97(c).	ubmission of an Information	Disclosure Statement				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. 14139						
In Re Application Of: Yuji FURUTA						
Serial No. 09/735,039	Filing Date December 12, 2000	Examiner Unassigned	Group Art Unit 2852			
Title: APPARATUS AND METHOD FOR CONTROLLING QUALITY REDUCTION						
OF IMAGE INF	ORMATION	RECEIVED				
		DEC	2 1 3 2002			
		eir or ree	logy Center 2600			
Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached.  The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSM as described below. A duplicate copy of this sheet is enclosed.  Charge the amount of Credit any overpayment.  Charge any additional fee required.  Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Palent and Trademark Office (Fax. No. ) on.  (Date)  Signature  *This certificate may only be used if paying by deposit account.  Signature  *This certificate may only be used if paying by deposit account.  Signature  Dated: December 4, 2002  Paul J. Esatto, Jr., Reg. No. 30,749  SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City, NY 11530  (516) 742-4343  PJE:ahs						

TRA	NSMITTAL O	Docket No. 14139					
In Re Application Of: Yuji FURUTA  DEC 8: 9: 2002							
	Serial No. 09/735,039	Group Art Unit 2852					
Title:	APPARATUS A OF IMAGE INI	AND METHOD FOR CONTROLI	LING QUALITY REDUCTION	RE. TECHNOLOG			
		Assistant Comr	Idress to: nissioner for Patents on, D.C. 20231	CEIVED 11 2002 17 CENTER 2800			
		37 CF	R 1.97(b)	28			
<ul> <li>The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</li> <li>37 CFR 1.97(c)</li> <li>The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or offer the mailing date of a set forth in 37 CFR 1.491 in an international application; or offer the mailing date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or offer the mailing date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or offer the mailing date of entry of the national stage as set forth in 37 CFR 1.491 in an international application.</li> </ul>							
	international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:						
	1. a Final Action under 37 CFR 1.113, or			RECEIVED			
	2.	a Notice of Allowance under 37 C	FR 1.311,	DEC 1 3 2002			
	whichever occurs first.						
	Also submitted h	nerewith is:					
	☐ a certifica	tion as specified in 37 CFR 1.97(e	<b>e)</b> ;				
	OR						
	the fee se under 37 (	et forth in 37 CFR 1.17(p) for su CFR 1.97(c).	ubmission of an Information	Disclosure Statement			

TRANSMITTAL OF	Docket No. 14139					
In Re Application Of: Yuji FURUTA						
Serial No. 09/735,039	Group Art Unit					
Title: APPARATUS AND METHOD FOR CONTROLLING QUALITY REDUCTION  OF IMAGE INFORMATION						
☐ A check in the am	(Only complete if Applicant elects t		1.17(p))			
The Assistant Coras described below Charge the Credit and Credit and Charge and Certificate of Certificate of Certificate of Certificate and Trade Certificate and Certificate a	mmissioner is hereby authorized by. A duplicate copy of this sheet he amount of by overpayment.  In additional fee required.  Transmission by Facsimile*  Cocument and authorization to charge high facsimile transmitted to the United hemark Office (Fax. No.  Signature  Name of Person Signing Certificate  Ty only be used if paying by  Signature  To. 30,749	Certificate of Mail  Certify that this docum on December 4, 2002 first class mail under 37 C. Assistant Commissioner 2023  Signature of Person Miche	RECEIVED  DEC 1 3 2002  Technology Center 2600 ling by First Class Mail  ent and fee is being deposited with the U.S. Postal/Service as F.R. 1.8 and is addressed to the for Patents, Washington, D.C.  Mailing Correspondence  elle Mustafa  Ferson Mailing Correspondence			
400 Garden City Plaza Garden City, NY 11530 (516) 742-4343  PJE:ahs						